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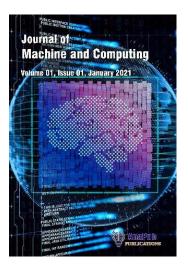
#### Snehal Prabhakarrao Dongre and Dharmpal D Doye

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# Firefly Algorithm with Cauchy Crow Search Algorithm based Feature Selection for English Character Recognition

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#### **Abstract**

In the present scenario, the identification of English characters from the synt andwritten al. and images is considered an emerging problem in the researchers' community. The n writing style, size of the text, orientation of the text, complex backgrounds, and lower image resolution, g with irrelevant features make character recognition a challenging task. Therefore, a novel automated mod of feature selection is implemented in this manuscript for Optical Character Recognition (OCR). In this the Firefly Algorithm with Improved Crow Search Algorithm (FAICSA) is proposed for selec pti al feature vectors for enhancing the classification accuracy. This OCR considers the images acquired to rs74K and real-time datasets which are pre-processed by implementing binarization and normalization t rom the pre-processed real-time images, the English characters are precisely segmented by perforting ical operations known as erosion rphol by using the Local Binary Pattern and dilation. Moreover, the significant features from are extra (LBP), Zernike Moments (ZM), Stroke Width T and ResNet-18 model. At last, the selected optimal feature vectors from FAICSA are given pencoder model for effective OCR. The to the acked proposed FAICSA based OCR is analysed using S Correlation Coefficient (MCC), sensitivity, Positive tthe Predictive Value (PPV), accuracy, and specificity. numerical examination states that the FAICSA-stacked autoencoder model attained higher recognition accuracy of 99.64% and 92.06% on the Chars74K and real-time datasets, which are superior values in contrast to those in assured for conventional machine-learning classifiers and optimization algorithms.

**Keywords:** Binarization, Character reason, frow search algorithm, Firefly algorithm, Normalization, Stacked Autoencoder.

#### 1. Introduction

images have been generated due to the rapid growth of portable devices like In recent mobile ph Letc. [1-2]. The text extraction from natural images provides semantic information for applica ns like language translation [3], image retrieval [4-5], robot and unmanned vehicle idance for visually impaired people [7-8], etc. Generally, OCR techniques are utilized for scanned documents, wherein the texts are well formatted and recorded in well-controlled The traditional OCR techniques achieve recognition accuracy in scanned document images, but d execution in natural scene images. The performance of traditional OCR techniques is degraded in nave lin images due to the specifications of variations in font sizes, colours, writing styles, complex s, and aspect ratios [10-12]. Whereas, the characters in the scanned document images have plain/clean backgrounds, structured orientations, sizes, and colours [13-14]. Currently, numerous studies are performed on ed document images, and only some studies are carried out on handwritten, synthesized, and natural images [15-18].

This research considers meta heuristics based optimization for feature selection. Metaheuristic is essential tool which offer plans to generate effective optimization algorithms which solves the difficult real world issues based on the searching of optimum solution in specific circumstances. This states that particular solution is not definite, but the algorithm provides one of the best solutions [19]. Some of the feature selection approaches are Hybrid Fireflies-Particle Swarm Optimization (HFPSO) [20], Fish-based Position of Marine Predators and Forest Optimisation (FP-MPFO) [21] and Self-Improved Flower Pollination Algorithm (SI-FPA) [22]. The HFPSO, FP-

MPFO and SI-FPA affects the OCR because it lacks in dynamic adaption, required parameter tuning and sensitive to initial conditions respectively. The FA utilized the combination of attraction and randomization, confirming that new solutions are explored in searching process. Simultaneously, the fireflies moved in the direction of the best solution which leads to avoid the premature convergence (that happens in the Crow Search Algorithm (CSA)) and increases the convergence. The incorporation of firefly attraction confirms that the optimization does not faces the local minima, confirming it to break free from suboptimal solutions and explore wide regions in the solution space.

The character recognition is considered as a challenging task because of the changes in the writing style, text size and orientation, lower image resolution, complex backgrounds and most specifically existence of irreleval features. The motivation of this work is to overcome the above specified shortcomings for an effective OCR. The primary objective of this research manuscript is to propose an effective automated model for English character recognition on handwritten, synthesized, and natural images. In that, an appropriate pre-processing of the effective feature extraction and optimization based feature selection is designed for improving the recognition of English characters.

The major contributions of this study are pointed out below:

- Binarization and normalization techniques are used to enhance the control of the equired mages. The major advantages of utilizing binarization and normalization technique are: (i) an increase in model efficiency and (ii) a decrease in computational load.
- The significant features from the images are extracted by using the LBP, ZM, S T and ResNet-18. In that, the LBP is chosen to acquire visual characteristics to differentiate the text region from non-text regions, ZM is chosen to acquire the shape and contour information, SWT is chosen to arract the information of stroke width, and ResNet-18 is chosen to obtain hierarchical features.
- The proposed hybrid optimization algorithm: FAICSA choose optimal discriminative deep vectors. The dimensionality reduction lessens the complexity and computational time of the model. The proposed FAICSA consumes a computational time of 56.09 and 58% second are a Chars74K and real-time datasets, which are minimum when related to the conversions appting ation algorithms.

This manuscript is further presented as follow literature survey of the existing articles related to character recognition is mentioned in Section 2, while the deal's contumertaken methods, evaluation results, conclusion, and future work of this work are correspondingly described in Sections 3, 4, and 5.

## 2. Literature survey

The existing researches related to the haracters cognition are given in this section along with their advantages and limitations.

Zanwar, S.R et al. [20] develop vaive Propagation (NNP) Classification to perform the character the No recognition. The NNP was gith the Harmonized Independent Component Analysis (HICA) to perform rate Fireflies-Particle Swarm Optimization (HFPSO) was used to select the the feature extraction w classifier that combined the naïve bayes propagation classifier with features. Further, the ensemble heural network. The utilization of particle swarm optimization was contributed backpropagation to the bet the Firefly was used to enhance the exploitation. The developed HFPSO was lacking in d ion which made it ineffective higher dimensional searching space.

Parashic, surthy, N.P.T. and Rajashekararadhya, S.V [21] presented the Hybrid Deep Learning Network (HDLNet) is the combination of Attention-Based Deep Temporal Convolution Network (ADTCN) and Dense Long-sport Month (DLSTM) for recognizing the characters. The different features such as morphological, exture as geometric were considered during feature extraction. Subsequently, the features were weighted by using Fish based Position of Marine Predators and Forest Optimisation (FP-MPFO) to perform the classification using HP. Net. The FP-MPFO based feature optimization was used to solve the issue of misclassification and perfitting issues. This FP-MPFO was struggled in balancing the exploration and exploitation that frequently required the parameter tuning.

Devi, S.N. and Fatima, N.S [22] developed the OCR to address the issues of orientation, text and size variations. The texts were segmented by using the Canny Edge Detection and Efficient and Accurate Scene Text (EAST) was used to ensure the text localization. A low and high level features were extracted by Inception V3 while Long Short-Term Memory (LSTM) utilized for extracting the chronological information. The Self-Improved Flower Pollination Algorithm (SI-FPA) was used to choose the features with the Principal Component Analysis (PCA). Additionally, the integration of Recurrent and transformer neural network were utilized for performing the text recognition which increased the network advantages in processing contextual and sequential data. This SI-FPA

was sensitive to the initialized conditions and it is subjected to the lose the important features because of disparaging dimensionality reduction.

Ptucha et al. [23] implemented an effective character recognition framework based on Fully Convolutional Neural Network (FCNN). Unlike the lexicon-based models, the presented FCNN model effectively recognized the infinite symbol blocks and common words such as acronyms, phone numbers, and surnames. A probabilistic character error rate was integrated with the FCNN model for correcting the errant word blocks. In comparison to the existing models, the presented FCNN model obtained superior results in handwritten character recognition. Correspondingly, Anand et al. [24] developed the LeNET model for an effective recognition of handwritten characters. However, the factors like shape variance, broken edges, skewing, and touching characters, degrad the performance of the FCNN and LeNET models in character recognition.

Lee et al. [25] integrated a shallow deep CNN model with an improved Local Binary Pattern (LBP) describer to enhancing the performance of character learning. A weighted depth-wise separable fire module and queeze et architecture were used in the shallow deep CNN model for improving the recognition ability and ducing t parameters of the overall network. Additionally, shuffle-Net architecture was utilized in the exp bework of decreasing the computational cost of the network. The integration of several architectures as a modules with shallow deep CNN model increased the time complexity. Similarly, Yang and Yang implement can improved LBP descriptor for real-time OCR. The use of handcrafted features increased to exector's semantic cap, which potentially degraded the performance of OCR.

## 3. Methodology

The proposed FAICSA-stacked autoencoder model includes six phases in English character recognition. These are **dataset description:** Chars74K and real-time datasets, **proposessing:** image normalization and Binarization, **segmentation:** Morphological operation, **feature execution:** LBP, ZM, SWT and ResNet-18, **feature optimization:** FAICSA, and **character recognition:** stake account let model. The flow diagram of the FAICSA-stacked autoencoder model is presented in Figure 1.

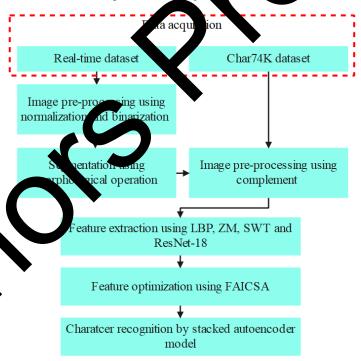


Figure 1. Flow diagram of the FAICSA-stacked autoencoder model

## 3.1 Dataset description

The proposed model's efficacy is analysed on the Chars74K and real-time datasets. The real-time dataset has English document images and handwritten images. In addition, it has 52 classes belonging to smaller and upper cases, and 5200-segmented English letters utilized for experimental analysis. On the other hand, the online Chars74K dataset has the characters of English and Kannada languages. The Latin Script (excluding accents) and Hindu-Arabic numerals are utilized in English language. The statistics of the Chars74K dataset are given below, and the Chars74K dataset is available at http://www.ee.surrey.ac.uk/CVSSP/demos/chars74k/.

- Has 62,992 synthesized character images which are recorded from computer fonts.
- Using a tablet or personal computer, 3,410 handwritten characters are obtained.
- Around 7,705 characters are natural images.
- Has 64 classes (A-Z, a-z, and 0-9). Around 74,000 images are available in the Chars74K dataset. The sample-acquired Chars74K and real-time images are shown in Figures 2 and 3.



Figure 2. Sample-acquired Chars74K image

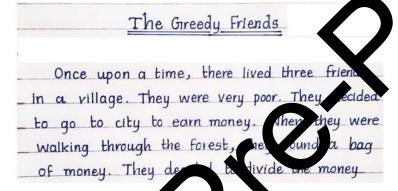


Figure 3. Sat le-acquir d real-time image

## 3.2 Pre-processing

In this phase, the acquired images are further denoised by reforming binarization, complement and implementing of pre-processing individually performed for Chars74K images and normalization technique. Two different he input images. Due to the different characteristics of the data, the prereal-time images due to the nature of processing steps are varied for Ch shad rep-time datasets. For the real time images, the pre-processing is rocesses of primalization, binarization, segmentation, complementing because performed by using the following solution, fighting and background noise. This helps the model acquires it exhibits higher differences in appropriate patterns rathe se. On the other hand, the Chars74K dataset has handwritten, synthesized and natural images with ppropri for atting, necessitating slight pre-processing i.e., complement. Because, the word or letters in the Cl s74K da set is black (depicts pixel value 0) while the background is in white (depicts hting the image makes the word or letters into white that makes appropriate to learn duril

#### 3.2.1. nah. tion

The image corman ation technique is employed for enhancing the contrast of the binary images. The normal ration of ique converts the n-dimensional gray-scale images  $I: \{X \subseteq R^n\} \to \{Min, \dots, Max\}$  into new technique images  $I_N: \{X \subseteq R^n\} \to \{newMin, \dots, newMax\}$ . The mathematical formula of the linear hamalization technique is presented in equation (1).

$$I_N = (I - Min) \frac{newMax - newMin}{Max - Min} + newMin$$
 (1)

Where,  $I_N$  is denoted as normalized images, newMax and newMin are represented as new pixel intensity values of normalized images, and Max and Min are indicated as the maximum and minimum pixel intensity values of the binary images I.

## 3.2.2. Binarization

In the image processing applications, binarization is the process of converting document images into binary-level document images and compliment is used to invert the image colours. The primary objective of binarization is the

separation of letters from the background regions and foreground texts. In this scenario, the Bernsen method is employed for image binarization which uses image contrast C(i,j) for estimating the lowest  $(I_{min})$  and highest pixel intensity values  $(I_{max})$  in the window by utilizing equation (2).

$$C(i,j) = I_{max} - I_{min} \tag{2}$$

Here, the pixel values are divided into background and foreground regions by estimating the local contrast with the threshold value. If the contrast is lesser than the threshold value, the pixels are classified as background regions. Similarly, the pixels are classified as foreground regions when the contrast is higher than the threshold value. Nevertheless, the performance of the Bernsen method is degraded in complex backgrounds.

#### 3.2.3. Segmentation

After normalizing the images, the morphological operations: erosion and dilation are performed on the case ine dataset's images for the precise segmentation of characters. The morphological operator erosion shrings the image pixels and eliminates unwanted pixels in the boundaries. To perform erosion operation, traver legge elements structures is essential and the output pixels of the erosion is computed by utilizing equation (3). Then the morphological operator, dilation expands the image pixels and adds appropriate pixels. The output pixels of the dilation are estimated by utilizing equation (4) wherein, Fit contest to retain dipixels and Hit represents the eliminated pixels. The sample-segmented characters from the cal-time sataset are depicted in Figure 4.

$$Erosion = \{pixel \ (output) = 1 \ if \ \{Fit\} \ pixel \ (output) = 0 \ if \ \{otherwise\} \}$$

$$(3)$$

$$Dilation = \{pixel \ (output) = 1 \ if \ \{Ixt\} \ pixel \ (output) = 0 \ if \ \{otherwise\} \}$$

$$(4)$$

Figure 4. Sample-segment school acters from the real-time dataset

The steps processed in the morphological segmentation, e given as follows:

- 1. At first, the images is converted mo grayscale if it is a color image.
- 2. Next, the thresholding technique is a for transforming the grayscale image into a binary image. Here, the thresholding is used to separating the foreground (i.e., text) from the background. This thresholding is important for segment on to six any the image by decreasing it to two intensity levels for simplifying the further processor.
- 3. The segmentat in is a fine and binary image's quality is enhanced by using the morphological operations of rosion at dilation. In that, the small objects are eliminated and boundaries are smoothers tush perosic while the dilation is used to fill the gaps and create objects in uniform shape as sit.
- 4. The shall object are eliminated from the binary image used to remove the noise and irrelevant artifacts. als her minimize the false positive and enhance accuracy in classification.
- Fe each of ct, a unique identifier is assigned by labelling connected components in the binary image which comportant for additional processing.
- 6. The characteristics of each image region i.e., bounding boxes that offer the essential information about the shape, location and size for each segmented object are computed.
  - In individual character from an original image is extracted to aid for additional processes. The information about bounding box helps obtain the individual characters from the original image. This helps separate the pixels related to each character region.

## 3.2.4. Complement

The image pixels are categorized into dual pixel collections of white and black. So, the operation of compliment is essential, because in the input data the letters are in black colour, while the background is in white which indicates the colour value of 0 and 1, respectively. Complementing the image improves various aspects of handwriting, being helpful for feature extraction by confirming uniform depiction of characters.

The sample pre-processed images of Chars74K and real-time images are shown in Figures 5 and 6.



Figure 5. Sample pre-processed Chars74K image

# The Greedy Friends

Once upon a time there I ved three friends

in a village They were very poor They decided

to go to city to earn money When they are

walking through the forest, they found a hay
of money They decided to divide the naney

Figure 6. Sample pre-processed al-

#### 3.3 Feature extraction

In this phase, four approaches namely, LBP, ZY SWT at ResNet 8 are used over the pre-processed images for extracting the features. In that, the LBP is seed or capturing the visual characteristics appropriate to differentiate the text regions from non-text regions. The contour and shape patterns in text regions are obtained by ZM while the SWT is useful in extracting the stroke of the information for an effective differentiation of text from the image. Further, the ResNet-18 is used for learning the rich and hierarchical features from images. The feature extraction is detailed in the below sections.

#### 3.3.1. Local binary pattern

In the LBP approach, the late  $\times$  new per is created for each pixel of pre-processed image that considers the adjacent around every pixel (minh um  $3 \times 3$ ). If the neighboring pixel's intensity is higher or equal to 1 according to the central pixel, then be value of fixed as 1 to the respective pixels; otherwise, the value is fixed as 0. Further, the label shows the pixel of the

$$LBP_{R,P} = \sum_{p=0}^{P} s(g_p - g_c) \times 2^P$$
 (5)

$$s(x) = \{1 \mid x \ge 0 \ 0 \mid x < 0$$
 (6)

Where, ne aboring radius and the amount of neighborhood pixels of the central pixel are respectively denoted as R : P; the central pixel's brightness intensity is denoted as  $g_c$  and neighborhood pixel's brightness intensity is denoted as  $g_p$ .

#### 3.3.2. Zernike moment (ZM)

In general, the ZM is developed to overcome the issues of information loss in the Geometric moments. ZM is the moment function utilized to map the images in a group of complex ZM. This denotes the characteristics of image with overlap or redundancy for information among the moments. The discrete form of ZM with order d and repetition m for image size of  $N \times N$  is given in equation (7).

$$Z_{d,m} = \frac{d+1}{\lambda_N} \sum_{q=0}^{N-1} \sum_{r=0}^{N-1} f(q,r) R_{d,m} (\rho_{qr}) e^{-jm\theta_{qr}}$$
 (7)

Where,  $0 \le \rho_{qr} \le 1$  and normalization factor is denoted as  $\lambda_N$ , real valued one dimensional radial polynomial is denoted as  $R_{d,m}$ , and image function is denoted as f(q,r). Here, the normalization factor is an amount of pixels positioned in the unit circle by using the mapping transform. Moreover, the transformed distance and phase are denoted as  $\rho_{qr}$  and  $\theta_{qr}$  which are expressed in equations (8) and (9) respectively.

$$\rho_{qr} = \frac{\sqrt{(2q-N+1)^2 + (2r-N+1)^2}}{N}$$

$$\theta_{qr} = \left(\frac{N-1-2r}{2q-N+1}\right)$$

#### 3.3.3. Stroke width transform

The stroke width of various image pixels of pre-processed image is discovered usign widely used for detecting the text features in the images. Generally, SWT is data-dependen and ther ot require scanning windows or multi-scale calculation. In SWT, each pixel is converted i width when image has color value. Initially, each element is fixed as ∞ in SWT, hence the canny edge deor is used for recovering the strokes. For each pixels of image, a gradient direction (dp) is used in SWT. The dpcomputed for pixel p, e stroke. The aforementioned when the respective pixel's stroke boundary is perpendicular to the direction of process is continued until the other pixel q is found. The pixel q's qdien. Sirection is represented as dq. The width ||p-q|| is assigned for various elements of the pixels over med [p,q] of SWT resulting image, when the dq direction is opposite to the dp i.e.,  $dp = -dq \pm \frac{\pi}{2}$  $\mathbf{Z}$  of  $\|p-q\|$  is assigned until the The w existence of minimum value in the pixel p. The ray when both dp and dq are not in the opposite directions.

## 3.3.4. ResNet-18

In the segmented images, the discriminative vectors are exacted by implementing ResNet-18 model. It has 18 convolutional layers and learns around 1000 million parameters from the segmented images. The use of the ResNet-18 model boosts the speed of armination around accuracy.

Further, the features from LBP, 2.1. SWT and ResNet-18 are concatenated together to form the overall feature vector. Around 2621 and 2021 deep vectors are extracted from the Chars74K and real-time datasets, which are further given to the optic azation, gork on for feature reduction.

#### 3.4 Feature optimizati

The extrac given to FAICSA for dimensionality reduction. In general, handwritten characters show f the differenced style of writing, thickness of stroke and noise in the images. From es, not all features equally contribute to the recognition. Some of the features are irrelevant or the extr ning features have highly discriminative features. Therefore, the feature selection is used to noisy tures that help enhance the generalization over unknown data. The inclusion of attractivenessidenti ment helps improve the exploitation and exploration capacities in ICSA which is further used to obtain ased m are subset for an enhanced recognition. In this feature selection phase, the population is generally binary matrix. Each row depicts a discrete solution i.e., a possible feature subset, and each column depicts whether the respective feature is chosen (1) or not (0). This task is done by considering the thresholdbinarization where the real valued score of feature is validated with the predefined threshold. If the score is higher or equal to the threshold, then the respective feature is selected (1); otherwise, it is not chosen (0).

## 3.4.1. FA

The FA is considered as one of the many effective bio-inspired metaheuristic-optimization algorithms, which mimics the firefly's flashing behaviour. The FA follows three rules for constructing this algorithm: (i) the fireflies' brightness is considered as the objective function, (ii) fireflies are unisex, and so they are attracted to brighter fireflies, and (iii) the fireflies move randomly if there are no brighter fireflies because the brightness is directly

proportional to attractiveness. Elementary physics states that the distance r is inversely proportional to the intensity of light. The light passes in a medium with light intensity LI and the light absorption coefficient  $\lambda$  varies with a distance r, as mathematically determined in equation (10). As stated in equation (11), the intensity at the source points  $I_0$  are combined with equation (10).

$$LI(r) = LI_0 e^{-\lambda r} \tag{10}$$

$$LI(r) = LI_0 e^{-\lambda r^2} \tag{11}$$

Computation of  $e^{-\lambda r^2}$  is harder than that of  $1/(1+\lambda r^2)$ . So, the intensity is computed utilizing equation (17). The firefly's attractiveness A(r) is mathematically specified in equation (13), where the attractiveness at r=1 is represented as  $A_0$ .

$$LI(r) = \frac{LI_O}{1 + \lambda r^2}$$

$$A(r) = \frac{A_0}{1 + \lambda r^2}$$

Where,  $\lambda$  denotes light absorption coefficient which defines how rapidly the light intensity becreats along with the distance at the medium. The parameter  $\lambda$  defines the fireflies motion and attactiveness in the optimization. In general,  $\lambda$  is selected empirically or according to the certain features of issue that as it is chosen between [0.5,1.5] to balance the exploitation and exploration. Lesser  $\lambda$  values leads to slower in unity decay for improving global search while higher value returns the rapid decay to improve local search, therefore he  $\lambda$  is set as 1.

Generally, the firefly located at  $y^{i,iter}$  moves towards  $(y^{i,iter})'$  when the fly located at  $(y^{i,iter})'$  is brighter when compared to the firefly located at  $y^{i,iter}$ . The firefly located at  $(y^{i,iter})'$  is unlated by utilizing equation (14).

$$y^{i,iter+1} := y^{i,iter} + A_0 e^{-\lambda r^2} ((y^{i,iter} - y^{i,iter})) - y^{i,iter}$$
(14)

Where, iteration is stated as *iter* and the random eter is expresented as  $\alpha$ , and the vectors of random numbers are denoted as  $\varepsilon$ .

## 3.4.2. ICSA based on Cauchy random number

In a metaheuristic approaches, two distinct criteria such as intensification and diversification are considered for taking optimum results. In conventi CSA, the balance among the diversification and intensification is maintained among two factors such a robability (Ap) and flight length (fl). It depicts that lesser awar value of flight length ensures local gher values ensure global search. Similarly, the lesser value of Ap ensure local search while her value er are global search. In general, the value of fl is fixed, before initializing the optimization proce and it is same for entire population. In ICSA, the value of fl is dynamically chosen based on the Caug wher (E) and it is produced for every test case. Accordingly, the value of mpixed from the inverse mapping of the Cauchy distribution's Cumulative fl is interchanged by that is which is Density Function (CDF lown in equation (15).

$$F(y) = \frac{1}{2} + \frac{1}{\pi} \arctan \arctan \left(\frac{y}{t}\right)$$
 (15)

Where, using its for is t, whose value is set as 1 and y is corresponded to an each test case. This operator is used to minimize be solven problems trapped in local minima. Additionally, this used to balance the searching pattern based at the a judgment in the scaling factor. The value of Cauchy random number (E) is acquired by inversing the equation (15). The value of Ap in ICSA corresponded to the lesser threshold which is minimum amount of a tants are estroyed by the test case. This specified whether an amount of mutants destroyed by the test case is suitable x not for each of new test cases and it is modified for every benchmark. If the crow moved to new location is fitness is higher than the minimum threshold, then it is evaluated with the memorised location's fitness; the case is a test case's random value is chosen. This is used to maintain the balance among the diversification and intensification. Hence, the ICSA improves the capacity of searching by dynamically selecting the fl and fixing the Ap. The mutation is promoted by the Cauchy random number in the ICSA. The Cauchy random number based mutation leads to diverse searching process because of its capacity for generating the outliers and highly important perturbations, that helps in exploring the areas.

ICSA follows the crow's foraging behaviour with Cauchy random numbers. The incorporation of Cauchy random numbers *E* with the conventional CSA improves the exploration and exploitation ability that helps in obtaining the optimum solutions. By using the concept of mutation testing, the ICSA helps in generating effective test cases.

In ICSA, the test cases t correspond to the population size of the crows. Based on the principles of the CSA, the memory and position are initialized. The memory update is important component in the ICSA, confirming that each crow keeps the best solutions. In the beginning of iterative process, memory of each crow is initialized for storing the initial location in the search space which is denoted in the below equation (16).

$$Memory(i) = Position(i), \forall i \in Population$$
 (16)

In every iteration, the location of current crow is evaluated with its memory location based on a fitness function. The memory is updated, when the current location of the crow has the better fitness than their memorized location; Otherwise, there is no update in the memory. This kind of memory update confirms that each crow has the blocation's knowledge, confirming an effective exploitation and exploration.

By utilizing the fitness function, the test cases t of the memorized positions are analyzed. A random t and its position  $y^{i,iter}$  is computed using equations (17) and (18).

$$y^{i,iter+1} = y^{i,iter} + rand_i \times E \times (m^{j,iter} - y^{i,iter}) rand_i > AP_{max}^{j,it}$$

Where.

$$y^{i,iter+1} = a \ random \ position \ otherwise$$
 (18)

Here,  $rand_i$  and  $rand_j$  are indicated as the random numbers within the range of zero and one, AP is represented as awareness probability,  $m^{j,iter}$  is denoted as a memory of a crow, and an iteration is S and as iter.

In the FAICSA, the random position update using equation (18) is repla ation (14) that is attractivenessbased movement. The benefits of replacing the random position update eted search, improved exploitation, balanced exploration and exploitation and improved convergence E ensures an attractiveness-based update formulated from the FA which allows the crows to move optim hs according to the attractiveness of fireflies instead of random motion. Accordingly, A obtains more directed searching behaviour, minimizing unwanted randomness and concentration e regions in the search space. This kind of searching towards targeted solution is used to er ince the ploitatic and to avoid the local optima by balancing the exploration and exploitation. The mitigation m updates in exploration phase is used to obtain the optimal solutions for enhancing the convergence that nelpful in high-dimensional issues.

used to help some immobile dimension of population After updating the location, the vertical crossover search (crows) escape from premature converg which ensures the FAICSA jumps out of the local optima. It also maximizes the population diversity. I gener vertical crossover is also referred as arithmetic crossover where all the individual in population fur stinct dimensions. Each crow processed in a vertical crossover which only updates one dime in while ret hing the remaining dimensions unaffected. This provides an immobile dimensions an opport ty for avoiding the local optima without affecting the remaining optimal and j2 are randomly chosen, and the j1 dimension of its offspring  $y_{vc}^{i,iter+1}$ dimension. Two distinct while the remaining dimensions are identical as the parent crow. is generated based on eq ation (

$$y_{vc}^{i,iter+1} = r_1 \times y^{j1,iter} + (1 - r_1) \times y^{j1,iter}$$
 (19)

Where  $r_1$  is a uniform distributed value among [0, 1]. The opposition among the parent crows and offspring is used. Latin we optimal crow. This crossover helps the FAICSA to avoid the premature convergence.

The rew portion's teness values are superior to the memorized positions, and this procedure is continued until the steeping carries are met. Hence, the best-memorized positions are considered as the optimum test suites optimum testors). The detection score is computed with the help of optimum test suites, and it is mathematically defined in position (20).

$$Detection score = |D(\gamma_d, P_m, T)|/|P_m|$$
 (20)

Where, the mutated program is represented as  $P_m$ , dimensional search space is indicated as D, detection boundary is specified as  $\gamma_d$ , and T is indicated as a set of test cases t. The fitness maximum Relative Error (RE) is computed using equation (21).

$$\tau(P_m(t)) = \{ \gamma(P_m, t) \} \tag{21}$$

Where, the maximum RE is denoted as  $\tau$ . The algorithm of ICSA is determined below;

## **Algorithm of ICSA**

- 1. Randomly initialize the crow population in the search space.
- 2. Compute fitness for every crow based on the objective function.
- 3. Identify the crow with the best fitness as the "leader".
- 4. Repeat the following steps until a termination criterion is met:
  - a. For every crow in the population (excluding the leader):
    - Generate a new candidate solution by modifying the crow's position based on its current position and the leader's position.
    - Apply local search or mutation operators to further explore the solution space.
    - Determine the new crow's position and then, generate a random number and compare it with AP. The crow moves based on equation (17) when the random number is smaller than else the crow randomly selects flock crow. Update the crow position based on equation (14).
    - Evaluate the fitness of the new candidate solution.
    - Compare the fitness of the new candidate solution with the crow's current position.
    - If the fitness of the new candidate solution is better, update the crow person in the new solution.
  - b. Update the leader by selecting the crow with the best fitness among population.
  - c. Perform population-based exploration and exploitation techniques such ossover, mutation, or other evolutionary operators based on Cauchy random number.
  - d. Evaluate the fitness of the new population.
- 5. Return the best solution found.

The parameters considered in the FAICSA are stated as follows: numer others one is 100, maximum generation is 50, randomized parameter  $\alpha$  is one, and the light absorption coefficient  $\lambda$  one. Here, the hyperparameters such as population size and iterations are optimized by all on a strial and error approach for both the Chars74K and real-time datasets. The analysis of trial and error approach is FAICSA is shown in Figure 7. Finally, the FAICSA uses the binary encoding to represent the chosen and unchose features. The threshold based binarization confirms an efficient transformation of real values polarized as binary feature selection.

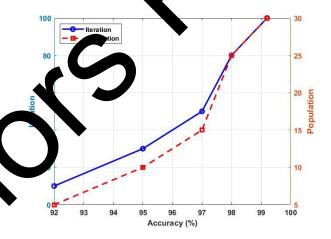


Figure 7. Analysis of trial and error approach for FAICSA

pseud whee pseud wheelow:

## Pseudocode of the FAICSA

**Input:** Total population **Output:** Best crow position

Initialization

Initialize crow position Compute crow position Initialize crow memory

```
While (iteration<maximum number of iteration)
```

For all crows,

Select a random crow

Define AP

**If** (random number>AP)

Update crow position based on equation (17) with mutation based on Cauchy random number

Else

Update crow position based on equation (14)

End if

End for

Check the solution feasibility

Update the crow position based on equation (19) with crossover

Compute Crow's new best position based on fitness value calculated using equation (2 Update the memory

**End while** 

Return: The best solution

**Terminate** 

#### 3.5 Character Recognition

The optimized 1987 and 1875 vectors of Chars74K and real-time datasets are give stacked autoencoder model for character recognition. The autoencoder is one of the effective unsur vised learning models, which owns three layers: hidden, output, and input layers. The stacked der has the capacity of learning hierarchical representations in the input. Therefore, the recognition cters is enhanced by obtaining complex patterns and relationships among the features. Add bencoder includes two training processes: encoding and decoding. Initially, the encoder may ctors into hidden representations, ne sele and the decoder reconstructs the vectors from the hid ions. The selected deep vectors are considered quation (22). as  $\{x_n\}_{n=1}^N$ , and the encoding process is mathem fied i

$$h_n = (W_n + b_1) \tag{22}$$

Where,  $x_n \in \mathbb{R}^{m \times 1}$ ,  $\hat{x}_n$  represents the decoder vectors  $x_n$  ained from the output layer, and  $h_n$  indicates the hidden encoder vectors which are computed from  $x_n$ . Furthermore,  $b_1$  indicates the bias vector, the encoding function is specified as f, and the weight matrix of f concoder is denoted as  $W_1$ . Correspondingly, the mathematical formula of the decoding process is indicated in equation (33).

$$\hat{x}_n = g(W_2 h_n + b_2) \tag{23}$$

Where, the bias vector is represented as  $b_2$ , the decoding function is indicated as g, and the weight matrix of the decoder is specified as  $V_2$ . To reduce a reconstruction error, the parameters in the autoencoder are optimized, and it is mathematically determined in equation (24).

$$\emptyset(\Theta) = \frac{1}{n} \sum_{i=1}^{n} L(x^{i}, \hat{x}^{i})$$
(24)

Where, Y additions a loss function  $L(x, \hat{x}) = ||x - \hat{x}||^2$ . The important parameters considered in the stacked autoence are given as follows: hidden units are 50, hidden layers are 3, sparsity regularization is 1, activation function is 1. LU, and maximum epochs are 100. The structure of stacked autoencoder model is graphically mention d in figure 8, and the steps involved in the stacked autoencoder model are pointed below:

- The Autoencoder is trained with the selected deep vectors.
- The earned vectors of the 1<sup>st</sup> autoencoder are given as the input to the next autoencoder. This process is repeated until the completion of model's training.
- Once the training is completed, a back-propagation algorithm is utilized for minimizing the cost function, so as to achieve fine-tuning. Furthermore, the numerical outcomes of the proposed model are described in section 4.

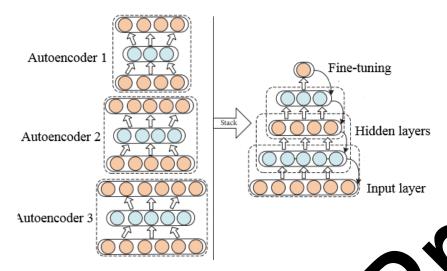


Figure 8. Structure of stacked autoencoder mode

#### 4. Results and discussion

The proposed model is implemented by utilizing Matlab R2020b (version 9.9), and is yzed on a system with ration rocessor. Further, the a Windows operating system, 64GB random access memory, and Intel i7 12th ge efficacy of the proposed model is investigated on a benchmark dataset A) and a real-time dataset using MCC, sensitivity, PPV, accuracy, and specificity. First, the evaluation MCC computes the correlation between the true classes with the help of the predicted labels. Then, it autoencoder classifier precisely classifies the positive and negative instances, it gains a higher M C sc On th other hand, specificity calculates classified. similarly, sensitivity calculates the the percentage of actual negative values which are percentage of positive values which are precisely thematical formulae of MCC, sensitivity, and The i specificity are defined in equations (25-27).

$$MCC = \frac{T}{\sqrt{(TP+FP)(TP)}} \frac{FP \times FN}{V(TN+FP)(TN+FN)} \times 100$$
 (25)

$$Sensitivity = \frac{TP}{FN + TP} \times 100 \tag{26}$$

$$per icity = \frac{TN}{TN + FP} \times 100$$
 (27)

In OCR, the PPV is determined as the ratio of true positive values out of total positive values, and accuracy is determined as the percentage of recisely classified instances. The mathematical formulae of the undertaken evaluation metrics: PPV and accuracy, are denoted in equations (28-29). In the confusion matrix, the terms: FP, FN, TP, and TN confusion matrix is positive values, false negative values, true positive values, and true negative values, respect tely.

$$PPV = \frac{TP}{TP + FP} \times 100 \tag{28}$$

$$Accuracy = \frac{TP + TN}{TN + TP + FN + FP} \times 100$$
 (29)

## 4 Analy L of Chars74k dataset

Here, the efficacy of the FAICSA-stacked autoencoder model is analyzed on a benchmark dataset named 74K. As mentioned in the dataset description, the Chars74K dataset has both English and Kannada characters. In this research manuscript, only English characters are utilized for experimental analysis. The Chars74K dataset has 3,410 handwritten English images, 62,992 synthesized images, and 7,705 natural images. Additionally, the Chars74K dataset has 64 classes comprising upper case, lower case, and digits (A-Z, a-z, and 0-9). The results of dissimilar machine-learning classifiers with and without the utilization of FAICSA are stated in Table 1.

Table 1. Results of classifiers on the Chars74K dataset

With optimized feature vectors using FAICSA						
Classifiers	Accuracy (%)	Sensitivity (%)	Specificity (%)	PPV (%)	MCC (%)	
CNN	97.98	96.82	96.23	97.68	98.26	
DBN	93.56	92.66	92.34	93.23	91.12	
Decision tree	92.57	90.89	89.14	90.88	91.44	
KNN	93.45	94.14	92.62	90.95	91.19	
MSVM	96.24	95.08	94.54	95.66	93.60	
Sparse autoencoder	94.16	93.92	90.71	92.14	91.48	
Stacked autoencoder	99.64	98.94	97.64	98.39	99.65	
	With original extracted feature vectors					
CNN	94.84	92.03	91.89	90.26	90.15	
DBN	86.87	85.32	87.23	86.34		
Decision tree	86.63	85.76	87.20	84.90	80.95	
KNN	89.30	88.84	87.30	90.51	86.39	
MSVM	92.38	90.45	91.84	89.66	1.32	
Sparse autoencoder	91.46	90.58	92.43	2.89	89.21	
Stacked autoencoder	95.04	96.77	94.14	9, 50	92.86	

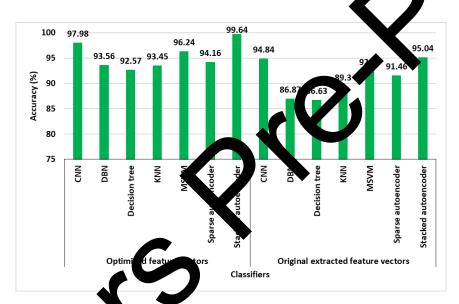


Figure 9. Comparative diagram of different classifiers' results on accuracy for the Chars74K dataset

pender model with FAICSA is seen to achieve maximum recognition results By viewing Table 1, the with MCC of 99.65%, I of 98.3 %, specificity of 97.64%, the sensitivity of 98.94%, and recognition accuracy of 99.64% . The achieved results are far better than the other comparative classifiers like MSVM, hbor (KNN), decision tree, sparse autoencoder, DBN and conventional CNN. On the pdividual classifiers without using optimization algorithms are detailed in Table 1. other pparative classifiers, the stacked autoencoder efficiently tackles the unsupervised learning Related problen tes the complexity within the Chars74K dataset. The comparative diagram of different n accuracy for the Chars 74K dataset is represented in Figure 9. class

raddition this, the results of different optimization algorithms on the Chars74K dataset are specified in Table 2. In this characteristic proposed FAICSA algorithm's efficacy is compared with the conventional optimization algorithms which are, Firefly Algorithm (FA), Salp Swarm Algorithm (SSA), Grey Wolf Characteristic proposed FAICSA, HFPSO [20], FP-MPFO [21] and SI-FPA [22], while the achieved results are validated in light of MCC, sensitivity, PPV, accuracy, and specificity. These algorithms follow identical bio-inspired paradigms, that are common to the developed FAICSA, hence it is chosen for the evaluation. In this scenario, the selection of optimal feature vectors by the FAICSA efficiently diminishes the computational complexity to linear and computational time to 56.09 seconds, which is limited compared to other optimization algorithms. The comparative optimization algorithms: GWO, WOA, FA, SSA, CSA, HFPSO [20], FP-MPFO [21], SI-FPA [22] and FAPSO consume computational times of 76.02, 68.29, 60.22, 65.52, 73.66, 59.67, 60.96, 61.56 and 62.93 seconds. The graphical comparative diagram of different optimization algorithms' accuracy result on the Chars74K dataset is depicted in Figure 10. The parameters considered for analyzing the comparison are

determined as follows: population size is 30, maximum iteration is 100, alpha is one, beta is one, gamma is one, and theta is 0.97.

Optimization algorithms	Accuracy (%)	Sensitivity (%)	Specificity (%)	PPV (%)	MCC (%)
CSA	95.47	94.87	94.19	94.01	95.03
GWO	90.54	89.51	88.62	87.81	85.96
FA	92.57	90.75	92.00	93.62	88.44
FAPSO	96.06	95.18	95.25	95.11	96.38
FP-MPFO	96.27	96.28	95.39	95.12	96.89
HFPSO	94.83	95.23	92.19	94.13	95.23
SI-FPA	95.99	96.01	93.28	94.08	
SSA	94.62	95.30	90.98	93.22	96.33
WOA	91.21	90.81	89.60	87.75	36.65
FAICSA	99.64	98.94	97.64	98.30	5 <5

Table 2. Results of optimization algorithms on the Chars74K dataset



Figure 10. Comparative diagram of deferent mimization algorithm's accuracy result on the Chars74K dataset

## 4.2 Analysis by using the real-t ne dataset

In this scenario, the proposed mode efficacy is analysed on the real-time dataset, which includes 52 classes and 5,200-segmented English anging to upper and lower cases. From the segmented images, 80:20% of images are respectively tilized for model training and testing. The results of classifiers on the real-time dataset performance of the classifiers is validated with and without the utilization of are represented in Table Here, t lewing Table 3, it is clear that the stacked autoencoder model with FAICSA the optin tion results than the existing machine-learning classifiers. The stacked autoencoder attains an MCC of 94.73%, PPV of 90.42%, specificity of 92.78%, a sensitivity of 93.47%, uracy of 92.06% on the real-time dataset. The comparative graph-based diagram of different and a re accuracy for the real-time dataset is specified in Figure 11. class

The stack d autoencoder is one of the effective data compression models utilized for encoding the selected deep stors into representation of smaller dimensions. Then, the decoder is utilized for reconstructing the input from the scool d format. The stacked autoencoder model performs dimensionality reduction and the vectors of the hidden-rayers are useful in improving the performance of character recognition.

With optimized feature vectors using FAICSA					
Classifiers	Accuracy (%)	Sensitivity (%)	Specificity (%)	PPV (%)	MCC (%)
CNN	91.91	92.01	91.33	89.24	92.95
DBN	87.98	88.12	87.56	86.38	87.02
Decision tree	88.32	87.49	85.11	89.98	86.62
KNN	87.06	85.07	84.11	90.49	87.28

Table 3. Results of classifiers on the real time dataset

MSVM	85.51	84.24	80.28	83.94	85.06
Sparse autoencoder	89.83	88.86	85.28	86.71	87.22
Stacked autoencoder	92.06	93.47	92.78	90.42	94.73
	With orig	ginal extracted feat	ure vectors		
CNN	85.30	85.00	83.90	84.63	86.45
DBN	86.99	87.34	87.34	85.23	86.87
Decision tree	86.36	85.39	83.72	82.64	86.27
KNN	85.92	83.97	81.23	86.42	84.94
MSVM	82.76	80.26	79.01	83.21	81.42
Sparse autoencoder	84.49	85.74	82.82	83.84	85.39
Stacked autoencoder	88.38	86.70	84.85	85.36	89.16

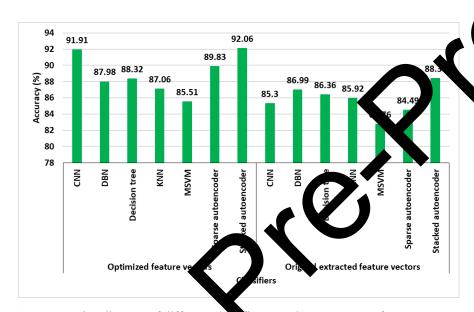


Figure 11. Comparative diagram of different class fer's results on accuracy for the real-time dataset

Table 4. Results optimization algorithms on the real-time dataset

Optimization algorithms	Ac u	ensitivity (%)	Specificity (%)	PPV (%)	MCC (%)
CSA	90.18	89.76	90.26	89.88	90.63
GWO	80.18	79.11	82.61	78.69	81.47
FA	03	90.22	91.58	88.79	89.15
FAPSO	91.24	91.00	90.43	89.87	91.27
FP-MPFO	91.32	92.09	90.87	90.01	91.87
HFP	90.89	90.12	89.09	89.12	88.65
Y- PA	91.01	90.22	90.43	89.76	89.67
. A	90.73	89.76	88.84	91.90	87.59
WC.	86.55	85.49	83.44	82.70	80.31
VICSA	92.06	93.47	92.78	90.42	94.73

The result of dissimilar optimization algorithms on the real-time dataset are stated in Table 4. As discussed in the earlier extions, the selection of optimal feature vectors decreases the complexity and computational time of the least in the real-time dataset, the FAICSA-stacked autoencoder model consumes minimal computational importance of 58.77 seconds, whereby the comparative optimization algorithms: GWO, WOA, FA, SSA, CSA, HFPSO [20, FP-MPFO [21], SI-FPA [22] and FAPSO consume computational times of 78.14, 70.20, 62.28, 66.54, 77.60, 60.54, 62.63, 61.40 and 63.81 seconds, respectively. The proposed FAICSA combines the advantages of two optimization algorithms (FA and CSA), aiding in generating promising candidate solutions. The numerical analysis states that the proposed FAICSA has a faster convergence speed and higher local optimal avoidance ability than the comparative optimization algorithms. The comparative diagram of different optimization algorithm's accuracy result on the real-time dataset is mentioned in Figure 12.

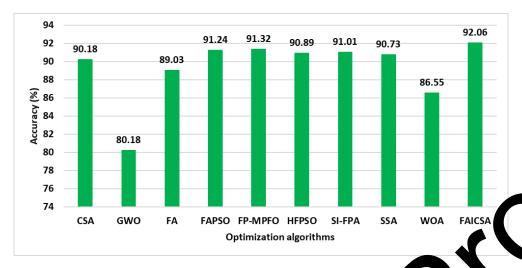


Figure 12. Comparative diagram of different optimization algorithm's accuracy, all on the real time dataset

## 4.3 Comparative analysis

Here, the FAICSA-stacked autoencoder model's performance is compared with a fe existing models, and the results are specified in Table 5. Zanwar, S.R et al. [20] designed the NNP classific n for performing the character recognition based on the features selected using HFPSO from HICA ne NNP used along with the LBP descriptor with the shallow HFPSO obtained the accuracy of 97.49%. Lee et al. [25] combined deep CNN model for an effectual character recognition. The improve n shallow deep CNN model has 98.44% of accuracy on the benchmark Chars74K dataset. In ad ptha et al. integrated enhanced LBP, EHO and LSTM for efficiently recognizing English char y, the EHO-LSTM model attains ers. In gde performed the dimension reduction using 96.66% of accuracy on the Chars74K dataset. Gang MSSAE and it used MSVM to recognize the har In this research, the MSSAE with MSVM vritten` emented IGI-Net for English character recognition. obtained 99.18% of accuracy. Madakannu and S araj in The experiments conducted on the Chars74K data that the DIGI-Net attains 85% of accuracy. As stated a recognition accuracy of 99.64%, which is higher than in Table 5, the FAICSA-stacked autoencoder model the existing models.

Cars74K dataset		
els	Recognition accuracy (%)	
NNP-HA (Q [20]	97.49	
Improved LP who half v deep CNN [25]	98.44	
EHO- STM	96.66	
MSSAE MSVM	99.18	
Net -Net	85	
FAL A-stacked autoencoder	99.64	

Table 5 roposed and comparative models' results

#### 4.4. Discussion

In this panus in the extraction and selection of optimal feature vectors by ResNet-18 and FAICSA from the images, a nificantly decreases the overfitting problems and computational time in the stacked autoencoder model. Iditional to the minimal semantic gap between the feature sets increases the recognition accuracy even under the andir ons of noise, blur, non-uniform illumination, and complex backgrounds. The efficacy of the proposed model is clearly described in Tables 1, 2, 3, 4, and 5. The key factors that used to enhances the performances of FAICSA are detailed as follows: In FAICSA, the FA's exploration capacity is combined with an enhanced exploitation capacity of ICSA which used to avoid the premature convergence by expanding the searching and increasing local search in appropriate regions. An attractiveness-based movement is leveraged by FA for guiding the candidate solutions in the direction of better solutions during the mitigation of local optima issue via random perturbations. This helps to improve the FAICSA's robustness in a higher dimensional feature space. Therefore, the FAICSA effectively minimizes the dimensionality via discovering highly differentiated features and discarding the irrelevant ones for enhancing the recognition accuracy for both the Chars74K and real-time datasets. The recognition accuracy of Chars74K dataset is 99.64% that is high compared to the state of art classifiers, optimization approaches and comparative models. Specifically, the recognition accuracy of FAICSA-

stacked autoencoder is 99.64% than the existing approaches such as NNP-HFPSO [20], Improved LBP with shallow deep CNN [25], EHO-LSTM, MSSAE-MSVM and DIGI-Net. The incorporation of Cauchy random number and attractiveness-based movement in FAICSA is used to select an appropriate features which helps to enhance the OCR.

On the contrary, the real time dataset has certain issues due to changes in the lighting conditions, background noise and handwriting styles. An appropriate pre-processing techniques such as binarization, normalization, and morphological operations are used for enhancing image quality for a better segmentation and feature extraction. Even with these issues, the developed FAICSA-stacked autoencoder efficiently optimized the feature selection process, achieving a significant accuracy of 92.06%. However, the performance of FAICSA is sensitive to the hyper parameter values such as absorption coefficient, maximum iterations and population size. A fine tuning of aforementioned parameters for certain datasets is important in obtaining enhanced performances, that is generalizable over various problems.

#### 5. Conclusion

An automated model: FAICSA-stacked autoencoder is introduced for recognizing English aim of this manuscript is to develop a hybrid optimization algorithm for selecting vectors. This process improves the performance of OCR with lower computational time Extensive d mem y usa experimental evaluations on the Chars74K and real-time datasets confirm that sed model superiorly improves the English character recognition performance. The obtained results of oposed model are better than the results of other feature optimization algorithms namely, GWO, WOA, FA SA, CSA, HFPSO, FP-MPFO, SI-FPA and FAPSO. It is observed that the FAICSA outperforms the other ms on the evaluation metrics of MCC, sensitivity, PPV, accuracy, and specificity. On the other hand, t stacked autoencoder's efficacy is contrasted against the traditional machine-learning classifiers sion tree, KNN, MSVM, sparse autoencoder, DBN and conventional CNN. In this context, the encoder accomplishes a higher recognition rate with limited complexity and computational time fAICSA-stacked autoencoder model gains higher recognition accuracies of 99.64% 06% Chars 74K and real-time datasets. correspondingly. This study possesses certain limita confusion in cursive English characters, which rdi potentially is accurately recognizable by under In the future, this research work may be anding i extended by automating the process of segme ture extraction, and optimization by developing an tion. effective unsupervised deep learning model. The de ed FAICSA has provided improved performances in this research, hence the future research can be explored in e incorporation of newer optimization approaches such as FP-MPFO and SI-FPA.

## **Author Contributions:**

Snehal Prabhakarrao Dongre: Visu zation; Conceptualization; Formal Analysis; Resources; Project Administration; Investig 200.

Dharmpal D. Doye: Metadology Supervision; Data Curation; Manuscript - Review & Editing; Validation; Manuscript Original raft.

All au vors have read and oproved the final manuscript

## Declar tions

ding: The research received no external funding.

Sonflict of Interest: The authors declare that they have no conflict of interest.

Ethics Approval: I/We declare that the work submitted for publication is original, previously unpublished in English or any other language(s), and not under consideration for publication elsewhere.

Consent to participate / Informed Consent: Not Applicable.

Consent for publication: I certify that all the authors have approved the paper for release and are in agreement with its content.

Data Availability: The datasets generated during and/or analysed during the current study are available in the Chars74K dataset repository:

Chars74K dataset link = http://www.ee.surrey.ac.uk/CVSSP/demos/chars74k/

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